

FORM PTO-1390 (Modified)  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

P24,001,35A

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/529537

INTERNATIONAL APPLICATION NO.  
PCT/GB98/03076INTERNATIONAL FILING DATE  
12 October 1998 (12.10.98)PRIORITY DATE CLAIMED  
15 October 1997 (15.10.97)

TITLE OF INVENTION

ANALGESIC COMPOSITIONS

APPLICANT(S) FOR DO/EO/US

Leslie Lars IVERSEN


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Cover Page of Published International Application WO 99/18967

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <span style="float:right;">09/529537</span>		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
		PCT/GB98/03076	P24,002 USA
<b>20. The following fees are submitted:</b>			<b>CALCULATIONS PTO USE ONLY</b>
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>			
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO .....	\$840.00		
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$670.00		
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$760.00		
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$970.00		
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$96.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			\$840.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30			\$130.00
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
Total claims	20 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$78.00
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>			\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$970.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>			\$0.00
<b>SUBTOTAL =</b>			\$970.00
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +			\$0.00
<b>TOTAL NATIONAL FEE =</b>			\$970.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>			\$0.00
<b>TOTAL FEES ENCLOSED =</b>			\$970.00
			Amount to be refunded \$
			charged \$
<input checked="" type="checkbox"/> A check in the amount of <b>\$970.00</b> to cover the above fees is enclosed.			
<input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <b>19-5425</b> A duplicate copy of this sheet is enclosed.			
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>			
<b>SEND ALL CORRESPONDENCE TO:</b>			
<div style="display: flex; justify-content: space-between;"><div>Alexis Barron, Esquire <b>SYNNESTVEDT &amp; LECHNER, LLP</b> 2600 ARAMARK Tower 1101 Market Street Philadelphia, PA 19107-2950 Telephone No. (215) 923-4466 Facsimile No. (215) 923-2189</div><div style="text-align: right;"> SIGNATURE  Alexis Barron NAME  22,702 REGISTRATION NUMBER  April 14, 2000 DATE</div></div>			



Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization exists.  
☐ each such person, concern or organization is listed below.

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

DR. LESLIE LARS IVERSEN

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

DIRECTOR

ADDRESS OF PERSON SIGNING:

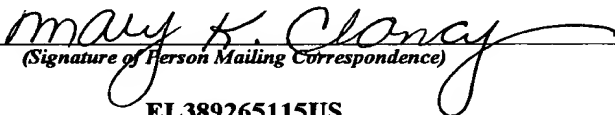
Panos Therapeutics Limited  
The Doctor's House, High Street, Little Milton  
Oxford, OX44 7PU, ENGLAND

SIGNATURE:

Leslie Iversen

DATE:

4th JUNE 2000

<b>CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Leslie Lars IVERSEN</b>		Docket No. <b>24,002 USA</b>	
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: <b>ANALGESIC COMPOSITIONS</b>			
<p>I hereby certify that this <u><b>Filing Under 35 U.S.C. 371 Transmittal Letter</b></u>  <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p>on <u><b>April 14, 2000</b></u>  <small>(Date)</small></p> <div style="text-align: right; margin-top: 20px;"> <u><b>Mary K. Clancy</b></u>  <small>(Typed or Printed Name of Person Mailing Correspondence)</small>    <small>(Signature of Person Mailing Correspondence)</small>  <u><b>EL389265115US</b></u>  <small>("Express Mail" Mailing Label Number)</small> </div>			
<b>Note: Each paper must have its own certificate of mailing.</b>			